



1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. 09/751,436
3 Filing Date December 29, 2000
4 Inventor..... Bassam Saliba et al.
5 Group Art Unit
6 Examiner
7 Attorney's Docket No. MS1-426USC1
8 Title: A System and Method for Secure Distribution of Information via eMail

9 **SUBMISSION OF SUBSTITUTE SPECIFICATION**

10 To: Commissioner of Patents and Trademarks,
11 Washington, D.C. 20231

12 From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
13 Lee & Hayes, PLLC
14 421 W. Riverside Ave., Suite 500
15 Spokane, WA 99201

16 Sir:

17 Applicant respectfully requests that the originally filed specification be
18 replaced with the substitute specification transmitted herewith. In a Notice to File
19 Missing Parts of Nonprovisional Application mailed February 22, 2001, the Patent
20 Office required a substitute specification in compliance with 37 CFR 1.52 due to
21 improper margins in the filed application. Transmitted herewith is a substitute
22 specification, including the claims, in compliance with 37 CFR 1.52. Two
23 versions of the substitute specification, including the claims, are included: a
24 marked up version showing changes made to the specification of record and a
25 clean form version without markings as to amended material.

Additional amendments have also been made to the application to add a related application reference as well as referenced serial numbers and application

1 numbers. The substitute specification transmitted herewith includes these
2 amendments.

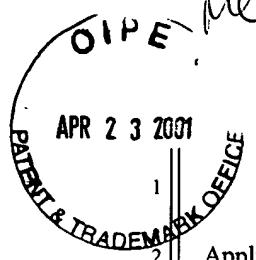
3 The substitute specification transmitted herewith includes no new matter.

4 Please direct any inquiries regarding this matter to the undersigned
5 attorney.

6
7 Respectfully Submitted,

8 Date: 4/19/01

9 By: 
10 Allan T. Sponseller
11 Reg. No. 38,318
12 (509) 324-9256
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04-11-01

SECTOR

APR 23 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No..... 09/751,436
Filing Date 12/29/2000
Inventorship Saliba et al.
Applicant Microsoft Corporation
Attorney's Docket No. MS1-426USC1
Title: A System and Method for Secure Distribution of Information Via Email

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: The Commissioner of Patents & Trademarks
Washington, D.C. 20231



From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979).
Customer No. 22801

22801

PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Copy of Notice to File Missing Parts
3. Submission of Substitute Specification
4. Replacement "Clean" Specification (56 pages)
5. "Marked Up" Specification (56 pages)
6. Return Post Card

Large Entity Status [x]

Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 4/19/01

By:

Allan T. Sponseller
Reg. No. 38,318

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to The Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the below-indicated date

Date: 4/19/01

By:
Dana L. Calhoun



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1542⁰⁰)

Complete if Known

Application Number	09/751,436
Filing Date	12/29/2000
First Named Inventor	Saliba
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-426USCI

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0769**
Deposit Account Name **Lee Hayes PLLC**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

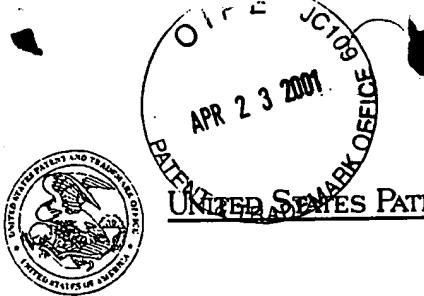
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	130
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 130 ⁰⁰)

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Allan T. Sponseller	Registration No. (Attorney/Agent)	38,318
Signature		Telephone	(509)324-9256
Date	4/3/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,436	12/29/2000	Bassam Saliba	MS1-426USC1

CONFIRMATION NO. 8755

FORMALITIES LETTER



OC00000005788150

LEE & HAYES, PLLC
Suite 500
421 W. Riverside Avenue
Spokane, WA 99201

Date Mailed: 02/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

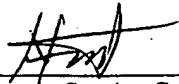
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$702.
 - \$702 for 39 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1542.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE